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Attorney Docket Number

TRANSMITTAL FORM

Application Number 10/728,310

Filing Date December 4, 2003

First Named Inventor Marvin M. Johnson

Art Unit 1754

Examiner Name

1128-01101

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)					
Fee Transmittal Form		☐ Drawing(s)	After Allowance Communication to Group		
Fee Attached	:	☐ Licensing-related Papers	· .		
☐ Amendment/Reply		☐ Petition	Appeal Communication to Board of Appeals and Interferences		
. 🗌 After Final		Petition to Convert to a	 □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter 		
☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request		Provisional Application			
		Power of Attorney, Revocation Change of Correspondence Address			
		☐ Terminal Disclaimer			
Supplemental		Request for Refund	Other Enclosure(s) (please identify below):		
Information Disclosure Sta	atement	☐ CD, Number of CD(s)	• Form PTO-1449 (with copies of cited		
☐ Certified Copy of Priority Do	cument(s)		references) • Copy of electronic Information		
Response to Missing Parts/ Incomplete Application			Disclosure Statement (eIDS) filed on March 23, 2004		
Response to Missing Pa	rte		• Postcard		
under 37 CFR 1.52 or 1		Remarks			
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm					
Or Ti	mothy S. We	estby, Reg. No. 52,352			
Individual Name					
Signature					
Date March 23, 2004					
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Signature	manae	Hampton Date	March 23, 2004		
		7			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Marvin M. Johnson

GROUP ART UNIT:

1754

SERIAL NO.:

10/728,310

EXAMINER:

FILED:

December 4, 2003

§ § § §

FOR:

Process for Liquid Phase

§ §

Hydrogenation

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN CONJUNCTION WITH AN (eIDS)

Atty. Dkt. No.: 1128-01101

Date: March 23, 2004

Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 CFR §1.97, §1.98, applicant is providing herewith copies of the supplementary items listed on the attached U.S. Patent and Trademark Office Form PTO 1449. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith. This information is supplemental to the Information Disclosure Statement and Form PTO 1449 filed in the above-referenced case on March 23, 2004.

The submission of this Supplemental Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

Electronic Information Disclosure Statement

As a part of this submission, an electronic Information Disclosure Statement (eIDS) is being transmitted on this date, and includes a listing of U.S. Patent and Published Applications of which Applicants are aware. A printed copy of that submission is attached hereto.

In accordance with 37 C.F.R. 1.98(e), no paper copies of those U.S. Patent and Published Applications are enclosed.

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Supplemental Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Supplemental Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted

Timothy S. Westby

Reg. No. 52,352

CONLEY ROSE, P.C.

P. O. Box 3267

Houston, Texas 77253-3267

(713) 238-8000

ATTORNEY FOR APPLICANTS

2



UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

Electronic Version 1
Stylesheet Version 1

Title of Invention

PROCESS FOR LIQUID PHASE HYDROGENATION

Submission Type:

Information Disclosure Statement

Application Number:

10/728310

10/728310

EFS ID:

57653

Server Response:

Confirmation Code	Message		
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application		
ICON1	9636		
	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.		

First Named Applicant:

Marvin Johnson

Attorney Docket Number: 1128-01101

Timestamp:

2004-03-23 14:30:29 EDT

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File Listing:

Doc. Name	File Name	Size (Bytes)
us-ids	1128-01101-usidst.xml	7434
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	1128-01101-pkda.xml	2426
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
	Total files size	75937

Message Digest:

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

Title of Invention

TR LIQUID PHASE HYDROGENATION PRO®

Application Number:

10/728310

10/728310

Confirmation Number:

9636

First Named Applicant:

Marvin Johnson Attorney Docket Number: 1128-01101

Art Unit:

1754

Search string:

(6578378 or 6509292 or 6465391 or 6395952 or 6365790 or 6350717 or 6315892 or 6281160 or 6255548 or 6127310 or 6015933 or 5856262 or 5847250 or 5696293 or 5589600 or 5587348 or 5504268 or 5414170 or 5356851 or 5227553 or 5059732 or 4906800 or 4705906 or 4547600 or 4517395 or 4484015 or 4469907 or 4404124 or 4337329 or 4323482 or 4137267 or 4128595 or 3842137 or 3541178 or 3342891 or

4137267 or 4277313).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6578378	2003-06-17	Kaiser et al.			
	2	6509292	2003-01-21	Blankenship et al.]		
	3	6465391	2002-10-15	Cheung et al.			
	4	6395952	2002-05-28	Barchas			
	5	6365790	2002-04-02	Reimer et al.			
	6	6350717	2002-02-26	Frenzel et al.			
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	8	6281160	2001-08-28	Basset et al.			
	9	6255548	2001-07-03	Didillon et al.			
	10	6127310	2000-10-03	Brown et al.			
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	12	5856262	1999-01-05	Flick et al.			•
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31	4137267	1979-01-30	Reid et al.	
32	4128595	1978-12-05	Montgomery	
33	3842137	1974-10-15	Libers et al.	
34	3541178	1970-11-17	Nettesheim	
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. 36	4137267	1979-01-30	Reid et al.	
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Remarks

Note: Remarks are not for responding to an office action.

As a part of this submission, a Supplemental Information Disclosure Statement is being transmitted on this date, and includes a listing of Non-U.S. Patent and Published Applications and other publications of which Applicants are aware.

Signature

Examiner Name	Date



Form PTO-1449 (Modified) Atty. Docket No. Serial No. 1128-01101 10/728,310 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant MAR 2. 5 2004 (Use several sheets if necessary) Marvin M. Johnson Filing Date Group December 4, 2003 1754 REFERENCE DESIGNATION U.S. PATENT DOCUMENTS DOCUMENT **EXAMINER** DATE NAME **CLASS** SUB-FILING DATE IF INITIAL NUMBER **CLASS** APPROPRIATE FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY **CLASS** SUB-Translation NUMBER **CLASS** YES NO FR2525210 1983-10-21 FRANCE (abstract in English) 1993-10-06 Х EP0564328A1 EUROPE (abstract in English) 1972-01-14 FR2091114 FRANCE (abstract in English) X OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to the applicant.

122467.01/1128.01101